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# Wild Automorphisms of Compact Complex Spaces

*of lower dimensions*

*joint work with Long Wang*

- 1 Wild Automorphism
- 2 Curves and Surfaces
  - Kähler spaces
  - Non-Kähler Surfaces
- 3 Dimension 3



# Wild Automorphism

**1** Wild Automorphism

**2** Curves and Surfaces

**3** Dimension 3

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### Definition

An automorphism  $\sigma \in \text{Aut}(X)$  is called **wild** in the sense of Reichstein-Rogalski-Zhang if for any non-empty analytic subset  $Z$  of  $X$  satisfying  $\sigma(Z) = Z$ , we have  $Z = X$ ; or equivalently, for every point  $x \in X$ , its orbit  $\{\sigma^n(x) \mid n \geq 0\}$  is Zariski dense in  $X$ .

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- 1 The singular locus  $\text{Sing } X$  is an analytic subset of  $X$  and stabilised by every automorphism.
- 2 If  $\sigma^m$  stabilised an analytic subset  $Z$  of  $X$ , then  $\sigma$  stabilises the analytic subset  $\bigcup_{i=0}^{m-1} \sigma^i(Z)$  of  $X$ .

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- 3 Suppose that  $X$  is Kähler and  $\kappa(X) = 0$ . Then the Beauville-Bogomolov (minimal split) finite étale cover  $\tilde{X}$  of  $X$  is a product of a complex torus  $T$  and some copies of Calabi-Yau manifolds  $C_i$  in the strict sense; a positive power of  $\sigma$  lifts to a diagonal action on  $\tilde{X} = T \times \prod_i C_i$  whose action on each factor is wild.

# Entropy and Dynamical Degrees

Let  $X$  be a compact Kähler manifold of dimension  $n \geq 1$ , and  $f \in \text{Aut}(X)$ .

Denote by  $d_i(f)$  the  $i$ -th **dynamical degree** of  $f$ , that is, the spectral radius of  $f^*|_{H^{i,i}(X)}$ .

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The dynamical degrees are log concave, i.e.,  $i \mapsto \log d_i(f)$  is concave for  $1 \leq i \leq n - 1$ .

That is  $d_{i-1}(f)d_{i+1}(f) \leq d_i(f)^2$  for all  $1 \leq i \leq n - 1$ .

Hence  $d_i(f) = 1$  for one  $i$  with  $1 \leq i \leq n - 1$  implies that it holds for all such  $i$ .

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The **topological entropy**  $h(f)$  of a map  $f$  is a dynamical invariant.

The classical results of Gromov-Yomdin imply that

$$h(f) = \log \max_{1 \leq i \leq n} \{d_i(f)\}.$$

Hence  $f$  has zero entropy if and only  $d_1(f) = 1$ .

## Conjecture Reichstein-Rogalski-Zhang 2006

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This is not true if we remove the Kähler condition.

The following conjecture is a little bit weaker.

## Conjecture Oguiso-Zhang 2022

Every wild automorphism  $\sigma$  of a compact Kähler space  $X$  has zero entropy.

**Theorem (Oguiso-Zhang 2022)**

*Let  $X$  be a projective variety over  $\mathbb{C}$  of dimension  $\leq 3$ . Assume that  $X$  admits a wild automorphism  $\sigma$ . Then either  $X$  is an abelian variety, or  $X$  is a Calabi Yau manifold of dimension three and  $\sigma$  has zero entropy.*

## Proposition 3

Let  $X$  be a complex torus and let  $\sigma$  be a wild automorphism on  $X$ .  
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Then  $\sigma$  has zero entropy.

### Proof.

Write  $\sigma = T_b \circ \alpha$  for some translation  $T_b$  and  $\alpha \in \text{End}(X)$ .

Since  $\sigma$  is wild, it can be shown that  $\alpha$  is unipotent.

Clearly  $T_b$  acts on  $H^1(X, \mathbb{C})$  as an identity.

We claim that the action of the unipotent  $\alpha \in \text{End}(X)$  on  $H^1(X, \mathbb{C})$  is also unipotent.

In fact,  $\text{End}(X)_{\mathbb{Q}} := \text{End}(X) \otimes \mathbb{Q}$  is contained in  $M_{2n}(\mathbb{Q})$ ,

and the homomorphism  $\text{End}(X)_{\mathbb{Q}} \rightarrow \text{GL}(H^1(X, \mathbb{C}))$  preserves unipotency.

Therefore,  $d_1(\sigma) = 1$  and  $\sigma$  has zero entropy. □

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### Proposition 4

Let  $X$  be a Q-torus with a wild automorphism  $\sigma$ . Then  $X$  is a complex torus.

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### Proposition 4

Let  $X$  be a Q-torus with a wild automorphism  $\sigma$ . Then  $X$  is a complex torus.

#### Proof.

Let  $T \rightarrow X$  be the minimal splitting cover of  $X$ .

Then  $\sigma$  lifts to an automorphism on  $T$ , also denoted as  $\sigma$ .

Note that the  $\sigma$  on  $T$  normalises  $H := \text{Gal}(T/X)$ .

Hence  $\sigma^{r!}$  centralises every element of  $H$ , where  $r := |H|$ .

Since  $\sigma^{r!}$  is still wild,  $H$  consists of translations.

Hence  $H = \{\text{id}_T\}$  by the minimality of  $T \rightarrow X$ .

Therefore,  $X = T$  and  $X$  is a complex torus. □

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- 1 Suppose that  $\sigma$  is wild and  $f: X \rightarrow Y$  (resp.  $g: W \rightarrow X$  with  $g(\text{Sing}(W)) \neq X$ ) is a  $\sigma$ -equivariant surjective morphism of compact complex spaces. Then  $f$  (resp.  $g$ ) is a smooth morphism.

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- 2 Suppose that  $f: X \rightarrow Y$  is a  $\sigma$ -equivariant surjective morphism to a compact complex space  $Y$ . If the action  $\sigma$  on  $X$  is wild then so is the action of  $\sigma$  on  $Y$  (and hence  $Y$  is smooth).

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- 3 Suppose that  $f: X \rightarrow Y$  is a  $\sigma$ -equivariant generically finite surjective morphism of compact complex spaces. Then the action of  $\sigma$  on  $X$  is wild if and only if so is the action of  $\sigma$  on  $Y$ .  
Further, if this is the case, then  $f: X \rightarrow Y$  is a finite étale morphism, and in particular, it is an isomorphism when  $f$  is bimeromorphic.

## Lemma 6

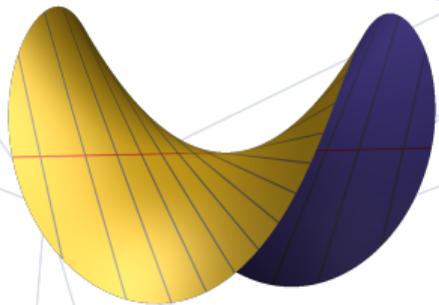
Let  $X$  be a compact Kähler manifold with a wild automorphism  $\sigma$ , let  $A$  be a complex torus and let  $f: X \rightarrow A$  be a  $\sigma$ -equivariant surjective projective morphism with connected fibres of positive dimension. Assume general fibres of  $f$  are isomorphic to  $F$ . Suppose that a positive power  $\sigma_A^s$  of  $\sigma_A$  fixes some big  $(1, 1)$ -class  $\alpha$  on  $A$  in  $H^{1,1}(A)$  (this holds if  $\dim A = 1$  or a positive power of  $\sigma_A$  is a translation on  $A$ ). Then  $-K_F$  is not a big divisor.

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### Lemma 7

Let  $X$  be a uniruled compact Kähler manifold of dimension  $\geq 1$ , with a wild automorphism  $\sigma$ . Then we can choose the maximal rationally connected (MRC) fibration  $X \rightarrow Y$  to be a well-defined  $\sigma$ -equivariant surjective smooth morphism with  $0 < \dim Y < \dim X$ . Further, the action of  $\sigma$  on  $Y$  is also wild.



# Curves and Surfaces

**1** Wild Automorphism

**2** Curves and Surfaces

**3** Dimension 3

## Theorem 8

Let  $X$  be a compact Kähler space of dimension  $\leq 2$ . Assume that  $X$  admits a wild automorphism  $\sigma$ . Then  $X$  is a complex torus, and  $\sigma$  has zero entropy.

**Theorem 8**

Let  $X$  be a compact Kähler space of dimension  $\leq 2$ . Assume that  $X$  admits a wild automorphism  $\sigma$ . Then  $X$  is a complex torus, and  $\sigma$  has zero entropy.

**Proof.**

Note that  $X$  is smooth and  $\kappa(X) \leq 0$ .

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- ▶  $\kappa(X) = -\infty$ :  $X$  admits a smooth fibration  $f: X \rightarrow Y$ , with fibres  $F$  smooth rational curve and  $Y$  an elliptic curve. But then  $F$  has ample  $-K_F$ , a contradiction.



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- ▶  $\kappa(X) = 0$ :  $X$  is either a complex torus or a hyperelliptic surface. Then  $X$  is a complex torus.



## Proposition 9

Let  $X$  be a compact complex surface which is not Kähler. Suppose that  $X$  has a wild automorphism  $\sigma$ . Then  $X$  is an Inoue surface of type  $S_M^{(+)}$ , and  $\sigma$  has zero entropy.

## Proposition 9

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### Proof.

By a result of Cantat, any automorphism of a non-Kähler surface has zero entropy. The surface  $X$  has to be minimal.

class of the surface $X$	$\kappa(X)$	$a(X)$	$b_1(X)$	$b_2(X)$	$e(X)$
surfaces of class VII	$-\infty$	0, 1	1	$\geq 0$	$\geq 0$
primary Kodaira surfaces	0	1	3	4	0
secondary Kodaira surfaces	0	1	1	0	0
properly elliptic surfaces	1	1			$\geq 0$

Finally, we conclude that  $X$  must be an Inoue surface of type  $S_M^{(+)}$ . □

An **Inoue surface**  $X$  is a compact complex surface obtained from  $W := \mathbb{H} \times \mathbb{C}$  as a quotient by an infinite discrete group, where  $\mathbb{H}$  is the upper half complex plane. Inoue surfaces are minimal surfaces in class VII, contain no curve, and have the following numerical invariants:

$$a(X) = 0, \quad b_1(X) = 1, \quad b_2(X) = 0.$$

There are three families of Inoue surfaces:  $S_M$ ,  $S_M^{(+)}$ , and  $S_M^{(-)}$ .

Let  $M = (m_{i,j}) \in \mathrm{SL}_3(\mathbb{Z})$  be a matrix with eigenvalues  $\alpha, \beta, \bar{\beta}$  such that  $\alpha > 1$  and  $\beta \neq \bar{\beta}$ . Take  $(a_1, a_2, a_3)^T$  to be a real eigenvector of  $M$  corresponding to  $\alpha$ , and  $(b_1, b_2, b_3)^T$  an eigenvector corresponding to  $\beta$ . Let  $G_M$  be the group of automorphisms of  $W$  generated by

$$\begin{aligned} g_0(w, z) &= (\alpha w, \beta z), \\ g_i(w, z) &= (w + a_i, z + b_i), \quad i = 1, 2, 3, \end{aligned}$$

which satisfy these conditions

$$\begin{aligned} g_0 g_i g_0^{-1} &= g_1^{m_{i,1}} g_2^{m_{i,2}} g_3^{m_{i,3}}, \\ g_i g_j &= g_j g_i, \quad i, j = 1, 2, 3. \end{aligned}$$

Note that  $G_M = G_1 \rtimes G_0$  where

$$G_1 = \{g_1^{n_1} g_2^{n_2} g_3^{n_3} \mid n_i \in \mathbb{Z}\} \simeq \mathbb{Z}^3 \quad \text{and} \quad G_0 = \langle g_0 \rangle \simeq \mathbb{Z}.$$

It can be shown that the action of  $G_M$  on  $W$  is free and properly discontinuous.

Let  $M \in \mathrm{SL}_2(\mathbb{Z})$  be a matrix with two real eigenvalues  $\alpha$  and  $1/\alpha$  with  $\alpha > 1$ . Let  $(a_1, a_2)^T$  and  $(b_1, b_2)^T$  be real eigenvectors of  $M$  corresponding to  $\alpha$  and  $1/\alpha$ , respectively, and fix integers  $p_1, p_2, r$  ( $r \neq 0$ ) and a complex number  $\tau$ . Define  $(c_1, c_2)^T$  to be the solution of the following equation

$$(I - M) \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} e_1 \\ e_2 \end{pmatrix} + \frac{b_1 a_2 - b_2 a_1}{r} \begin{pmatrix} p_1 \\ p_2 \end{pmatrix},$$

where

$$e_i = \frac{1}{2} m_{i,1} (m_{i,1} - 1) a_1 b_1 + \frac{1}{2} m_{i,2} (m_{i,2} - 1) a_2 b_2 + m_{i,1} m_{i,2} b_1 a_2, \quad i = 1, 2.$$

Let  $G_M^{(+)}$  be the group of analytic automorphisms of  $W = \mathbb{H} \times \mathbb{C}$  generated by

$$\begin{aligned} g_0 &: (w, z) \longmapsto (\alpha w, z + \tau), \\ g_i &: (w, z) \longmapsto (w + a_i, z + b_i w + c_i), \quad i = 1, 2, \\ g_3 &: (w, z) \longmapsto \left( w, z + \frac{b_1 a_2 - b_2 a_1}{r} \right). \end{aligned}$$

The action of  $G_M^{(+)}$  is free and properly discontinuous.

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$$-(I + M) \begin{pmatrix} c_1 \\ c_2 \end{pmatrix} = \begin{pmatrix} e_1 \\ e_2 \end{pmatrix} + \frac{b_1 a_2 - b_2 a_1}{r} \begin{pmatrix} p_1 \\ p_2 \end{pmatrix},$$

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Let  $G_M^{(-)}$  be the group of analytic automorphisms of  $W = \mathbb{H} \times \mathbb{C}$  generated by

$$\begin{aligned} g_0 &: (w, z) \longmapsto (\alpha w, -z), \\ g_i &: (w, z) \longmapsto (w + a_i, z + b_i w + c_i), \quad i = 1, 2, \\ g_3 &: (w, z) \longmapsto \left( w, z + \frac{b_1 a_2 - b_2 a_1}{r} \right). \end{aligned}$$

The action of  $G_M^{(-)}$  is free and properly discontinuous.

Let  $M \in \mathrm{GL}_n(\mathbb{Z})$  be a diagonalisable matrix where  $n = 2$  or  $3$ . Assume that  $M$  has either

- ▶ two real eigenvalues  $\alpha (\neq \pm 1)$  and  $1/\alpha$  or  $-1/\alpha$ , when  $n = 2$ ; or
- ▶ three eigenvalues  $\alpha (\neq \pm 1)$ ,  $\beta$  and  $\bar{\beta}$  ( $\beta \neq \bar{\beta}$ ), when  $n = 3$ .

Denote

$$\Gamma := \{N \in \mathrm{GL}_n(\mathbb{Z}) \mid N \text{ and } M \text{ are simultaneously diagonalisable}\}.$$

Then  $\Gamma \simeq U \times \mathbb{Z}$  where  $U$  is a finite group. In particular, if we denote by  $M^{\mathbb{Z}}$  the subgroup of  $\Gamma$  generated by  $M$ , then the quotient  $\Gamma/M^{\mathbb{Z}}$  is finite.

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### Theorem 10

Let  $X$  be an Inoue surface.

- ▶ If  $X$  is of type  $S_M$  or  $S_M^{(-)}$ , then the automorphism group  $\mathrm{Aut}(X)$  is finite.

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Denote

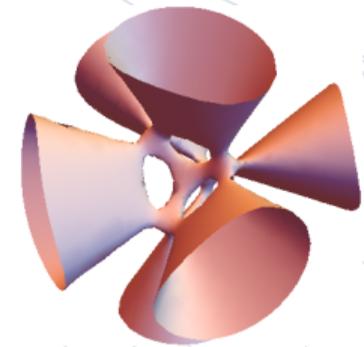
$$\Gamma := \{N \in \mathrm{GL}_n(\mathbb{Z}) \mid N \text{ and } M \text{ are simultaneously diagonalisable}\}.$$

Then  $\Gamma \simeq U \times \mathbb{Z}$  where  $U$  is a finite group. In particular, if we denote by  $M^{\mathbb{Z}}$  the subgroup of  $\Gamma$  generated by  $M$ , then the quotient  $\Gamma/M^{\mathbb{Z}}$  is finite.

### Theorem 10

Let  $X$  be an Inoue surface.

- ▶ If  $X$  is of type  $S_M$  or  $S_M^{(-)}$ , then the automorphism group  $\mathrm{Aut}(X)$  is finite.
- ▶ If  $X$  is of type  $S_M^{(+)}$ , then the neutral connected component  $\mathrm{Aut}_0(X)$  of the automorphism group  $\mathrm{Aut}(X)$  is isomorphic to  $\mathbb{C}^*$  and the group of components  $\mathrm{Aut}(X)/\mathrm{Aut}_0(X)$  is finite.



# Dimension 3

**1** Wild Automorphism

**2** Curves and Surfaces

**3** Dimension 3

A weak Calabi-Yau manifold  $X$  is a complex projective manifold with torsion canonical divisor and finite fundamental group.

In particular,  $H^1(X, \mathcal{O}_X) = 0$  and  $\text{Pic}^0(X)$  is trivial.

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### Theorem 11

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- 1  $X$  is either a complex torus or a weak Calabi-Yau threefold;
- 2  $\sigma$  has zero entropy.

Now we consider a weak Calabi-Yau threefold  $X$ .

By a result of Miyaoka(1987), we have  $c_2(X) \cdot D \geq 0$  for each nef Cartier divisor  $D$  on  $X$ .

Moreover, by Kobayashi(1987),  $c_2(X) \neq 0$ , and thus,  $c_2(X) \cdot H > 0$  for every ample Cartier divisor  $H$ .

## Proposition 12

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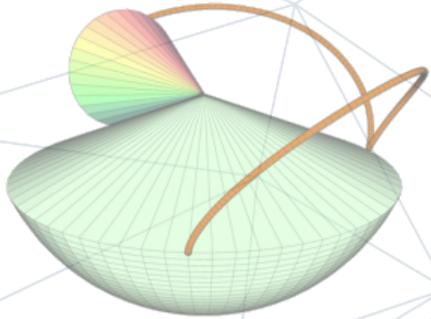
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Then  $X$  has no wild automorphism.



**Thanks for your attention!**