

# POTENTIAL DENSITY OF PROJECTIVE VARIETIES

Topology & Geometry Seminar

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The focus of this talk will be on the density of rational points on an algebraic variety, after a finite extension of the base field.

This talk is based on the following joint work.

**[JSZ21]**

Potential density of projective varieties having an int-amplified endomorphism,

Jia Jia, Takahiro Shibata and De-Qi Zhang,

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**Potential density of varieties admitting int-amplified endomorphisms**

Let  $K$  be a number field.

Let  $X$  be the subvariety of  $\mathbb{A}_K^n$  defined by of polynomials with coefficients in  $K$ :

$$f_1(x_1, \dots, x_n) = f_2(x_1, \dots, x_n) = \dots = f_m(x_1, \dots, x_n) = 0.$$

Then a  $K$ -rational point on  $X$  is an  $n$ -tuple  $(a_1, \dots, a_n) \in K^n$  such that  $f_1(a_1, \dots, a_n) = \dots = f_m(a_1, \dots, a_n) = 0$ .

## Example

When  $K = \mathbb{Q}$ , the rational points of the unit circle of equation  $x^2 + y^2 = 1$  are the pairs of rational numbers

$$\left( \pm \frac{a}{c}, \pm \frac{b}{c} \right)$$

where  $(a, b, c)$  is a Pythagorean triple.

For a scheme  $X$  over a field  $k$ , its  $k$ -rational points (denoted by  $X(k)$ ) is the set of points  $x \in X$  such that  $k(x) := \mathcal{O}_x / \mathfrak{m}_x = k$ . Equivalently, a  $k$ -rational point of  $X$  can be identified with a section of the structure morphism  $X \rightarrow \text{Spec } k$ .

## Definition

A variety  $X$  defined over a number field  $K$  is said to satisfy *potential density* (PD) if there is a finite field extension  $K \subseteq L$  such that  $X_L(L)$  is Zariski dense in  $X_L$ , where  $X_L := X \times_{\text{Spec } K} \text{Spec } L$ .

## Example

- ▷ Rational points of  $\mathbb{P}^n$  are dense over  $\mathbb{Q}$ .
- ▷ Consider the curve

$$X = \{x^2 + y^2 + z^2 = 0\} \subset \mathbb{P}_{\mathbb{Q}}^2.$$

Although  $X(\mathbb{Q}) = \emptyset$ , rational points are potentially dense. Over  $\mathbb{Q}(i)$  one has

$$\begin{array}{ccc} X & \xrightarrow{\cong} & C = \{x^2 + y^2 = z^2\} \longleftarrow \xrightarrow{\cong} \mathbb{P}^1 \\ [x : y : z] & \longmapsto & [x : y : iz] \\ & & [2st : s^2 - t^2 : s^2 + t^2] \longleftarrow [s : t] \end{array}$$

## More examples

### Proposition

Let  $X \dashrightarrow Y$  be a dominant rational map of projective varieties over a number field. Assume that  $X$  satisfies PD, then so does  $Y$ .

In particular, PD is a birational property.

### Corollary

Unirational varieties over a number field satisfy PD.

### Theorem (Chevalley-Weil)

Let  $X \rightarrow Y$  be an étale morphism of proper varieties over a number field. Assume that  $Y$  satisfies PD, then so does  $X$ .

### Proposition

Let  $A$  be an abelian variety over a number field  $K$ . After passing to a finite extension  $L/K$ , there is an  $L$ -rational point  $p$  on  $A$  such that  $\mathbb{Z}p = \{np \mid n \in \mathbb{Z}\}$  is dense.

### Corollary

Abelian varieties over a number field satisfy PD.

### Theorem (Faltings 1983)

Let  $C$  be a curve of genus  $\geq 2$  over a number field  $K$ . Then  $C(K)$  is finite.

### Corollary

Let  $X$  be a variety with a dominant rational map  $X \dashrightarrow C$  to a curve of genus  $\geq 2$  over a number field. Then  $X$  does not satisfy PD.

### Conjecture (Lang-Bombieri)

Let  $X$  be a projective variety of general type defined over a number field. Then rational points on  $X$  are not potentially dense.

The above conjecture holds for subvarieties of abelian varieties which are of general type (Faltings).

# Uniruled and rationally connected variety

## Definition

A variety  $X$  is said to be *ruled* if it is birational to  $U \times \mathbb{P}^1$ . We say that  $X$  is *uniruled* if  $X$  is dominated by a ruled variety of the same dimension.

## Definition

We say that a proper variety  $X$  over a field  $k$  is *rationally connected* if there exist a variety  $Y$  and a rational map  $e : \mathbb{P}^1 \times Y \dashrightarrow X$  such that the rational map

$$\mathbb{P}^1 \times \mathbb{P}^1 \times Y \dashrightarrow X \times X, \quad (t, t', y) \mapsto (e(t, y), e(t', y))$$

is dominant.

When  $k$  is algebraically closed of characteristic zero, if  $X$  is rationally connected, then any two closed points of  $X$  are connected by an irreducible rational curve over  $k$ .

The converse holds when  $k$  is also uncountable.

## Example

- ▷ Unirational varieties, (klt) Fano varieties are rationally connected.
- ▷ Rationally connected varieties are uniruled.

## Definition

An algebraic variety is *special* if it does not admit a fibration of general type in the sense of [Campana, Orbifolds, special varieties and classification theory, 2.41].

## Examples

- ▷ A variety of general type is NOT special.
- ▷ A curve is special iff its genus is 0 or 1.
- ▷ A surface with no finite étale cover which dominates a positive-dimensional variety of general type, is special.
- ▷ Rationally connected varieties are special.
- ▷ Algebraic varieties with vanishing Kodaira dimension are special.

## Conjecture (Campana)

Let  $X$  be a smooth projective variety defined over a number field. Then  $X$  is special iff  $X$  satisfies PD.

## Definition

A surjective morphism  $f : X \rightarrow X$  of a projective variety is called int-amplified if there exists an ample Cartier divisor  $H$  on  $X$  such that  $f^*H - H$  is ample.

## Conjecture 1 (Potential density under int-amplified endomorphisms).

*Let  $X$  be a projective variety defined over a number field  $K$ . Suppose that  $X$  admits an int-amplified endomorphism. Then  $X$  satisfies potential density.*

- ▷ Let  $f : X \rightarrow X$  be an int-amplified endomorphism of a normal projective variety  $X$ .  
either  $X$  is  $Q$ -abelian (= finite quasi-étale cover of an abelian variety  $\implies \kappa = 0 \implies$  special),  
or  $X$  is uniruled:
  - $X$  is rationally connected ( $\implies$  special), or
  - special maximal rationally connected fibration to a lower dimension  $Q$ -abelian variety.
- ▷ We may run minimal model program equivariantly on such  $X$  (Meng and Zhang).
- ▷ Let  $X = X_1 \times C$ , where  $X_1$  is any smooth projective variety and  $C$  is any smooth projective curve of genus at least 2. Such  $X$  does not satisfy PD.  
Let  $f$  be a surjective endomorphism of  $X$ . After iteration, it has the form

$$(x_1, x_2) \mapsto (g(x_1, x_2), x_2)$$

for some morphism  $g : X_1 \times C \rightarrow X_1$  (Sano). Hence,  $f$  descends to the identity map  $\text{id}_C$  on  $C$  via the natural projection  $X \rightarrow C$ . Such an  $f$  is not int-amplified.

- ▷ and,

## Conjecture 2 (Zariski dense orbit conjecture = ZDO).

Let  $X$  be a variety defined over an algebraically closed field  $k$  of characteristic zero and  $f : X \dashrightarrow X$  a dominant rational map. Then one of the following holds:

- (1) the  $f^*$ -invariant function field  $k(X)^f$  is non-trivial, that is,  $k(X)^f \neq k$ ; or
- (2) there exists some  $x \in X(k)$  whose  $f$ -orbit  $O_f(x) := \{f^n(x) \mid n \geq 0\}$  is well-defined and Zariski dense.

The above conjecture holds for

- ▷  $(X, f)$  with  $X$  being a curve (Amerik);
- ▷  $(X, f)$  with  $X$  being a projective surface and  $f$  an endomorphism (Xie, Zhang and J.);
- ▷  $(X, f)$  with  $X$  being an abelian variety and  $f$  an endomorphism (Ghioca and Scanlon).

### Lemma 3.

Let  $X$  be a projective variety over  $K$ ,  $f : X \rightarrow X$  a surjective morphism, and  $Z \subseteq X$  a subvariety which satisfies PD (e.g.,  $Z$  is an abelian variety or unirational). If  $O_f(Z)$  is Zariski dense, then  $X$  satisfies PD.

### Lemma 4.

Let  $X$  be a projective variety over  $k$  and  $f : X \rightarrow X$  an int-amplified endomorphism. Then  $k(X)^f = k$ . In particular, if ZDO holds for  $(X, f)$ , then there exists some  $x \in X(k)$  such that  $O_f(x)$  is Zariski dense in  $X$ .

## Proposition 5.

*Let  $X$  be a rationally connected projective variety over  $K$ . Suppose that  $\dim X \leq 3$  and  $X$  admits an int-amplified endomorphism. Then  $X$  satisfies potential density.*

- ▷ either we have a Zariski dense orbit; or
- ▷ take  $x \in X(\overline{K})$  with maximal  $\dim(Z := \overline{O_f(x)}) = r < \dim X$ .
  - pick an  $f$ -fixed point  $y \in X(\overline{K}) \setminus Z$ , and a rational curve  $C$  connecting  $x$  and  $y$ .
  - either  $W := \overline{O_f(C)} = X$ ; or
  - $\dim W = r$ , then  $W = Z \cup \bigcup W_i \implies y \in f^n(C) \subseteq Z$ , a contradiction; or
  - $r < \dim W < \dim X$ , then some irreducible  $W' \subseteq W$  is  $f$ -invariant and  $\dim W' > r$ .
  - apply ZDO to  $(W', f|_{W'})$  to find some  $w$  with  $\dim \overline{O_f(w)} > r$ .

## Proposition 6.

*Let  $X$  be a non-uniruled projective variety over  $K$ . Suppose that  $X$  admits an int-amplified endomorphism. Then  $X$  satisfies PD.*

After lifting to normalisation,  $X$  is  $\mathbb{Q}$ -abelian (Sheng)  $\implies \exists$  dense orbit.

## Theorem 7.

*Let  $X$  be a normal projective variety over  $K$  with at worst  $\mathbb{Q}$ -factorial klt singularities. Suppose that  $\dim X \leq 3$  and  $X$  admits an int-amplified endomorphism. Then  $X$  satisfies PD.*

Essentially, only need to consider uniruled but not rationally connected threefold, with  $K_X$  not being pseudo-effective.

Then run EMMP which end with a Fano contraction: base and general fibre have dimension 1 or 2.

**Zariski density of points with maximal arithmetic degree**

Let  $X$  be a projective variety and  $f : X \rightarrow X$  a surjective morphism.

## Definition

The *first dynamic degree* of  $f$  is the limit

$$d_1(f) := \lim_{n \rightarrow \infty} ((f^n)^* H \cdot H^{\dim X - 1})^{1/n},$$

where  $H$  is an ample Cartier divisor on  $X$ .

## Definition

Fix a (logarithm) height function  $h_H \geq 1$  associated to an ample Cartier divisor  $H$  on  $X$ . For  $x \in X(\overline{K})$ , the *arithmetic degree* of  $f$  at  $x$  is the limit

$$\alpha_f(x) := \lim_{n \rightarrow \infty} h_H(f^n(x))^{1/n}.$$

**Remark (Kawaguchi and Silverman; Matsuzawa)**

The inequality  $1 \leq \alpha_f(x) \leq d_1(f)$  holds for all  $x \in X(\overline{K})$ .

Conjecture (sAND; Matsuzawa, Meng, Shibata and Zhang,)

Let  $X$  be a projective variety over a number field  $K$ ,  $f : X \rightarrow X$  a surjective morphism, and  $d > 0$  a positive integer. Then the set

$$Z_f(d) := \{x \in X(\overline{K}) \mid [K(x) : K] \leq d, \alpha_f(x) < d_1(f)\}$$

is not Zariski dense.

Let  $L$  be an intermediate field:  $K \subseteq L \subseteq \overline{K}$ . We say that  $(X, f)$  has *densely many  $L$ -rational points with the maximal arithmetic degree*  $(DR)_L$  if there is a subset  $S \subseteq X(L)$  satisfying the following conditions:

- (1)  $S$  is Zariski dense in  $X_L$ ;
- (2) the equality  $\alpha_f(x) = d_1(f)$  holds for all  $x \in S$ ; and
- (3)  $O_f(x_1) \cap O_f(x_2) = \emptyset$  for any pair of distinct points  $x_1, x_2 \in S$ .

We say that  $(X, f)$  satisfies  $(DR)$  if there is a finite field extension  $K \subseteq L (\subseteq \overline{K})$  such that  $(X, f)$  satisfies  $(DR)_L$ .

### Question (Sano and Shibata)

Let  $X$  be a projective variety over a number field  $K$  satisfying PD and  $f : X \dashrightarrow X$  a dominant rational map over  $K$  with  $d_1(f) > 1$ . Does  $(X, f)$  satisfy  $(DR)$ ?

### Remark

When  $d_1(f) = 1$ , all points have maximal arithmetic degree. But the question is not trivial.

Let  $K$  be a number field. Let  $X$  be a projective variety over  $K$  and  $f : X \rightarrow X$  a surjective morphism with  $d_1(f) > 1$ .

### Theorem (Sano and Shibata)

- ▷ If  $X$  is unirational, then  $(X, f)$  satisfies  $(DR)_K$ .
- ▷ If  $X$  is abelian, then  $(X, f)$  satisfies  $(DR)$ .
- ▷ If  $X$  is a smooth projective surface satisfying PD, then  $(X, f)$  satisfies  $(DR)$ .

## Theorem 8.

*Let  $X$  be a normal projective surface over  $K$  satisfying PD, and let  $f : X \rightarrow X$  be a surjective morphism with  $d_1(f) > 1$ . Then  $(X, f)$  satisfies (DR).*

## Theorem 9.

*Let  $X$  be a rationally connected smooth projective threefold over  $K$ , and let  $f : X \rightarrow X$  be an int-amplified endomorphism. Then  $(X, f)$  satisfies (DR).*

**Questions?**